

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**

For 6 Month Period Ending September 30, 2021

(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant (b) Registration Number

Sidley Austin LLP

3731

- (c) Primary Business Address

One South Dearborn Chicago, IL 60603; 1501 K Street, NW, Washington DC, 20005; 787 Seventh Avenue, New York, NY, 10019; 2021 McKinney Avenue, Suite 2000, Dallas, TX 75201; 1999 Avenue of the Stars, 17th Floor, Los Angeles, CA 90067; 60 State Street, 36th Floor, Boston, MA 02109; 1000 Louisiana Street, suite 6000; Houston, TX 77002; 1001 Page Mill Road, Building 1, Palo Alto, CA 94034; 555 California Street, Suite 2000, San Francisco, CA 94104; 555 West Fifth Street, Los Angeles, CA 90013

2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:

(1) Residence address(es) Yes ☐ No ☐

(2) Citizenship Yes ☐ No ☐

(3) Occupation Yes ☐ No ☐

- (b) If an organization:

(1) Name Yes ☐ No ☒

(2) Ownership or control Yes ☐ No ☒

(3) Branch offices Yes ☐ No ☒

- (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSES TO ITEMS 3, 4, 5, AND 6.**

3. If the registrant previously filed an Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, has the registrant filed an updated Exhibit C? Yes ☐ No ☐

If no, please file the updated Exhibit C.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

## 4. (a) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
See Attachment A.				

## (b) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Ceased
See Attachment B.		

## 5. (a) Has any person named in Item 4(a) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

Name	Foreign Principal(s) Represented	Services
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(b) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
Andrew Shoyer	Partner	State Bank of Vietnam	9/17/21
Michael Borden	Partner	State Bank of Vietnam	9/17/21

6. Have short form registration statements been previously filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement or submitted with this filing? Yes ☐ No ☐

If no, list names of persons who have not previously filed the required statement or are not submitting a short form with this filing.

**II - FOREIGN PRINCIPAL**

7. Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?

Yes ☒

No ☐

If yes, furnish the following information:

Foreign Principal

Date of Termination

State Bank of Vietnam

9/17/21

8. Has the registrant added any new foreign principal(s)<sup>2</sup> during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information:

Foreign Principal

Date Added

9. In addition to those named in Items 7 and 8, if any, list the foreign principal(s) whom the registrant continued to represent during the 6 month reporting period.

Government of Israel

JSC VTB Bank

Tenex, Joint Stock Company

Department of International Trade, Government of the United Kingdom

10. (a) Has the registrant filed Exhibits A and B for the newly added foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup>

Yes ☐

No ☐

N/A ☒

Exhibit B<sup>4</sup>

Yes ☐

No ☐

N/A ☒

If no, please file the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal(s) whom the registrant represented during this 6 month period?

Yes ☐

No ☒

If yes, has the registrant filed an amendment to these exhibits?

Yes ☐

No ☐

If no, please file the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail all activities and services:

Foreign Principal	Activities/Services
Government of Israel	Provide advice on policy developments in the U.S.
JSC VTB Bank	Provide a weekly policy memorandum explaining developments in U.S.- Russia relations
TENEX, Joint Stock Company	No work for TENEX during this period.
Department of International Trade, Government of the United Kingdom	Provide an explanation of the US FARA and LDA legal requirements and policies
State Bank of Vietnam	Provide advice on policy developments in the U.S.

12. During this 6 month reporting period, has the registrant, on behalf of any foreign principal, engaged in political activity<sup>5</sup> as defined below? Yes ☐ No ☒

If yes, identify each foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures, social media, internet postings, or media broadcasts, give details as to dates, places of delivery, names of speakers and subject matter. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Set forth below a general description of the registrant's political activities.

Set forth below in the required detail the registrant's political activities.

Foreign Principal	Date	Contact	Method	Purpose
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13. In addition to the above described activities, if any, has the registrant engaged in activity or rendered any services on its own behalf which benefits the registrant's foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

Foreign Principal	Activities/Services
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<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.



**IV - FINANCIAL INFORMATION****14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, has the registrant received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, please explain why no monies were received.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Foreign Principal	Date Received	From Whom	Purpose	Amount	Subtotal
SEE ATTACHMENT C.					

Total

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, has the registrant received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, has the registrant previously filed an Exhibit D<sup>8</sup> to its registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

If no, please file the required Exhibit D.

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	From Whom	Purpose	Thing of Value
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

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15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, has the registrant disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement or transmitted monies to any such foreign principal? Yes ☒ No ☐

If no, explain why no disbursements were made.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Foreign Principal	Date	Recipient	Purpose	Amount	Subtotal
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SEE ATTACHMENT D.

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Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date	Recipient	Purpose	Thing of Value
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Donor	Political Organization/Candidate	Method	Amount/Thing of Value
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<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**V - INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period, has the registrant prepared, disseminated or caused to be disseminated any informational materials?<sup>12</sup> Yes ☐ No ☒

(b) If yes, identify each such foreign principal for which informational materials were disseminated and respond to the remaining items in this section.

17. During this 6 month reporting period, has a budget been established or specified sum of money allocated to finance the registrant's activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, and specify the period of time and the amount.

Foreign Principal	Period of Time	Amount
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18. During this 6 month reporting period, has the registrant's activities in preparing, disseminating or causing the dissemination of informational materials included the use of any of the following:

- |  |   |   |  |
|--|---|---|--|
| <input type="checkbox"/> Magazine or newspaper               | <input type="checkbox"/> Advertising campaigns  | <input type="checkbox"/> Press releases       | <input type="checkbox"/> Pamphlets or other publications |
| <input type="checkbox"/> Lectures or speeches                | <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Motion picture films | <input type="checkbox"/> Letters or telegrams            |
| <input type="checkbox"/> Email                               |   |   |  |
| <input type="checkbox"/> Website URL(s): _____               |   |   |  |
| <input type="checkbox"/> Social media websites URL(s): _____ |   |   |  |
| <input type="checkbox"/> Other (specify) _____               |   |   |  |

19. During this 6 month reporting period, has the registrant prepared, disseminated, or caused to be disseminated informational materials among any of the following groups:

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Public officials      | <input type="checkbox"/> Newspapers                   | <input type="checkbox"/> Libraries                |
| <input type="checkbox"/> Legislators           | <input type="checkbox"/> Editors                      | <input type="checkbox"/> Educational institutions |
| <input type="checkbox"/> Government agencies   | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups       |
| <input type="checkbox"/> Other (specify) _____ |   |   |

20. Indicate the language used in the informational materials:

- |                                  |  |
|----------------------------------|--|
| <input type="checkbox"/> English | <input type="checkbox"/> Other (specify) _____ |
|----------------------------------|--|

21. Has the registrant filed with the FARA Unit, U.S. Department of Justice a copy of each item of such informational materials prepared, disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

If no, please please file the required informational materials.

22. Has the registrant labeled each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☐

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.



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**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature <sup>13</sup>
10/29/21	Joseph B. Tompkins, Jr.	/s/ Joseph B. Tompkins, Jr.

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

**ATTACHMENT A**

New Partners 4/1/2021 - 9/30/2021

<b>Name</b>	<b>Location</b>	<b>Address</b>	<b>Citizenship</b>	<b>Partner Date</b>
Rile,Christopher W.	New York	114 E 72nd St., New York, NY, 10021, USA	USA	4/17/2021
Morrissey,Brian P.	Washington D.C.	4820 27th Place N., Arlington, VA, 22207, USA	USA	4/19/2021
Mollo-Christensen,David E.	New York	84 Brite Avenue, Scarsdale, NY, 10583, USA	USA	4/19/2021
Califano,Thomas R.	New York	395 N Village Ave., Rockville Centre, NY, 11570, USA	USA	4/24/2021
Wolf,Eric S.	New York	10 Macopin Avenue, Montclair, NJ, 07043, USA	USA	4/26/2021
Gorsen,Maureen F.	Century City	8370 Dover Drive, Granite Bay, CA, 95746, USA	Ireland; USA	4/30/2021
Ward,Thomas G.	Washington D.C.	5906 Moss Wood Lane, McLean, VA, 22101, USA	USA	5/3/2021
Esmaili,Ranah	Washington D.C.	1931 38th St NW, Washington, DC, 20007, USA	USA	5/3/2021
Zaifman,Hagai	New York	79 Columbus Dr., Tenafly, NJ, 07670, USA	Israel; USA	5/17/2021
Fleming,Carlton	San Francisco	1746 Great Highway, San Francisco, CA, 94122, USA	USA	5/19/2021
Foster,Holt	Dallas	3219 Drexel Dr., Dallas, TX, 75205, USA	USA	5/22/2021
Smith,Kristen L.	San Francisco	1204 Noble Way, Flower Mound, TX, 75022, USA	USA	6/7/2021
Schwartz,Nicholas M.	New York	40 Bleecker St. #8E, New York, NY, 10012, USA	USA	6/19/2021
Levi,William R.	Washington D.C.	323 F ST NE, Washington, DC, 20002, USA	USA	7/6/2021
Dunbar,Paul W.	London	64 Iverna Court, London, W8 6TT, GBR	United Kingdom	8/28/2021
Graham,Daniel D.	London	Elizabeth House, Hambledon Place, Bourne End, SL8 5DF, GBR	United Kingdom; Australia; New	8/28/2021
Stewart,Emilie E.	London	23 Flat E, Warwick Square, London, SW1V -2AB, GBR	United Kingdom	8/28/2021
Devins,Michael D.	New York	110 West 96th Street, Apt.15CD, New York, NY, 10025, USA	Canada; Permanent	9/10/2021
Bridges,Trent O.	Houston	2630 Bissonnet St. #5331, Houston, TX, 77005, USA	USA	9/13/2021
Anderson,Dave	San Francisco	65 Avila Street, San Francisco, CA, 94123, USA	USA	9/15/2021
Armbrust,Sheila A.G.	San Francisco	3645A 23rd Street, San Francisco, CA, 94110, USA	USA	9/15/2021
Baker,Will	Boston	167 Lowell Ave., Apt 2, Newton, MA, 02460, USA	USA	9/20/2021
Kaplan,Scott A.	Boston	22 Nowell Road, Melrose, MA, 02176, USA	USA	9/25/2021
Johnson,James R.	Washington D.C.	1010 Bryan Pond Ct., McLean, VA, 22102, USA	USA	9/25/2021
Fanelli,Christopher A.	Washington D.C.	1816 Monroe Street NW, Washington, DC, 20010, USA	USA	9/25/2021

**ATTACHMENT B**

Partner Exits 4/1/2021 - 9/30/2021

<b>Location</b>	<b>Name</b>	<b>Dept</b>	<b>Partner Withdrawal Date</b>
Century City	Buese,Alexis Miller	Partner	4/23/2021
Washington D.C.	Choi,Peter S.	Partner	4/23/2021
New York	Merrill,Susan L.	Partner	4/30/2021
Chicago	Snyder,Adam M.	Partner	4/30/2021
Munich	Schinköth,Jan	Partner	5/31/2021
Boston	Buhlman,Robert A.	Partner	6/1/2021
Washington D.C.	Nester,Brian R.	Partner	6/9/2021
Washington D.C.	Fonzone,Christopher C.	Partner	6/23/2021
Chicago	Eaton,Maja J.	Partner	6/30/2021
New York	Freedman,Jonathan L.	Partner	6/30/2021
New York	MacKinnon,John A.	Partner	6/30/2021
Washington D.C.	Zegger,Paul J.	Partner	6/30/2021
New York	Homison,Michael J.	Partner	7/6/2021
Chicago	Trienens,Howard J.	Partner	7/26/2021
Washington D.C.	Singer,Joel	Partner	7/31/2021
Chicago	Nelson,Andrew E.	Partner	8/13/2021
San Francisco	Jensen,Jared G.	Partner	8/31/2021
Washington D.C.	Klingler,Richard D.	Partner	8/31/2021
Chicago	Arazi,Jeannette K.	Partner	9/17/2021
Washington D.C.	Salehi,Nader H.	Partner	9/24/2021

**ATTACHMENT C****Money Received**

April 1 - September 30, 2021

<b><u>Date</u></b>	<b><u>Purpose</u></b>	<b><u>Amount</u></b>
<b><u>Government of Israel (01264)</u></b>		
5/24/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$1,172.50
7/2/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$6,867.50
7/19/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$6,380.28
<b><u>Total Receipts:</u></b>		<b><u>\$14,420.28</u></b>
<b><u>JSC VTB Bank (94195)</u></b>		
4/29/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$30,000.00
5/13/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$30,000.00
7/14/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$30,000.00
7/15/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$30,000.00
8/30/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$30,010.99
9/24/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$30,000.00
<b><u>Total Receipts:</u></b>		<b><u>\$180,010.99</u></b>
<b><u>Tenex Joint Stock Company (99135)</u></b>		
<b><u>Total Receipts:</u></b>		<b><u>\$0.00</u></b>
<b><u>Department of International Trade, Government of United Kingdom (70721)</u></b>		
5/21/2021	Provide an explanation of the US FARA and LDA legal requirements and policies	\$7,435.90
<b><u>Total Receipts:</u></b>		<b><u>\$7,435.90</u></b>
<b><u>State Bank of Vietnam (72198)</u></b>		
8/17/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$100,125.00
9/17/2021	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$133,125.00
<b><u>Total Receipts:</u></b>		<b><u>\$233,250.00</u></b>



**ATTACHMENT D****DISBURSEMENTS INCURRED**

April 1 - September 30, 2021

**Government of Israel (01264)**

Postage, telephone, telex, messenger service, photocopying and document processing	\$15.28
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**JSC VTB Bank (94195)**

Postage, telephone, telex, messenger service, photocopying and document processing	\$10.99
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**Tenex Joint Stock Company (99135)**

Postage, telephone, telex, messenger service, photocopying and document processing	\$0.00
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**Department of International Trade, Government of United Kingdom (70721)**

Postage, telephone, telex, messenger service, photocopying and document processing	\$0.00
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**State Bank of Vietnam (72198)**

\$1,499.56

Postage, telephone, telex, messenger service, photocopying and document processing	
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**Total Disbursements:****\$1,525.83**